



BLAKELY SOKOLOFF TAYLOR & ZAFMAN

A LIMITED LIABILITY PARTNERSHIP INCLUDING LAW CORPORATIONS

60 South Market Street, Suite 510 San Jose, CALIFORNIA 95113

(408) 947-8200 (Telephone)

(408) 947-8280 (Facsimile)

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Technology Center 2100

FACSIMILE TRANSMITTAL SHEET (TRANSMITTAL TO PTO)

Deliver to: Kalinowski, A. Art Group: 2166	_		
Firm Name: U.S. PATENT AND TRADEMARK OFFICE			
Fax Number:			
From: André L. Marais Reg. No. 48,095			
Date: <u>March 21, 2002</u> Time: <u>3:40 p.m.</u>	_		
Operator: Sarah M. Montgomery Matter: 5214P001RD3	_		
Number of pages including cover sheet: 8			
In Re Patent Application of: Kuriacose Joseph, et al.			
Application No.: <u>09/903,457</u>			
Filed:July 10, 2001	_		
For: Apparatus For Transmitting And Receiving Executable Applications	<u>:</u>		
AS FOR A MULTIMEDIA SYSTEM, AND SYSTEM TO ORDER AN ITEM USING A	_		
DISTRIBUTED COMPUTING SYSTEM			
Enclosed are the following documents: Notice of Litigation Under 37 C.F.R.			
1.178 (2 pgs.) and Exhibit A (Complaint for Patent Infringement) (5pgs.).			
CERTIFICATE OF TRANSMISSION			
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Date of TransmissionMarch 21, 2002			
Sarah M. Montgomery			
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(Rev. 11/23/97)

From-BST&Z SJ-Office Services

Attorney's Docket No.: 5214P001RD3

Patent |

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re of Application of:

Kuriacose Joseph, et al.

Application No.: 09/903,457

Filing Date: July 10, 2001

For:

APPARATUS FOR TRANSMITTING

AND RECEIVING EXECUTABLE

APPLICATIONS AS FOR A

MULTIMEDIA SYSTEM, AND SYSTEM

TO ORDER AN ITEM USING A

DISTRIBUTED COMPUTING SYSTEM

Examiner: Kalinowski, A.

Art Group: 2166

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Assistant Commissioner for Patents Washington, D.C. 20231

NOTICE OF LITIGATION UNDER 37 C.F.R. 1.178

Sir:

Notice is hereby provided that U.S. Patent No. 5,819,034, on which the above Reissue Patent Application is based, is involved in litigation. Specifically, the Assignee OpenTV, Inc. on February 7, 2002, filed a complaint in the U.S. District Court of Northern California alleging infringement by Liberate Technologies of U.S. Patents Nos. 5,819,034 and 5,563,648. A copy of the complaint is attached.

Regarding the status of this litigation, only the above noted complaint has been filed to date. The Examiner is invited to contact the undersigned attorney with any questions relating to the current status of the litigation.

CERTIFICATE OF TRANSMISSION

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If there are any fees in connection with this Notice, please charge Deposit Account No. 02-2666.

A duplicate of this Notice is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: 03/2//, 2002

André L. Marais Reg. No. 48,095

12400 Wilshire Blvd. Seventh Floor Los Angeles, CA 90025 (408) 947-8200

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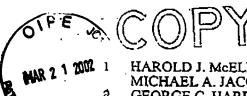


EXHIBIT A

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HAROLD J. McELHINNY (BAR NO. 66781) MICHAEL A. JACOBS (BAR NO. 111664) GEORGE C. HARRIS (BAR NO. 111074) MORRISON & FOERSTER up

425 Market Street

San Francisco, California 94105-2482

Telephone: (415) 268-7000 Fax: (415) 268-7522

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FEB - 7 2002

RICHARD W. WIEKING NORTHERN DISTRICT OF CALIFORNIA

Attorneys for Plaintiff OPENTY, INC.

Technology Center 2100

UNITED STATES DISTRICT COURT

NORTHERN DISTRICT OF CALIFORNIA

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11 OPENTV, INC., a Delaware comporation,

Plaintiff.

Defendant.

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14 LIBERATE TECHNOLOGIES, a Delaware corporation,

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No.

INFRINGEMENT

DEMAND FOR JURY TRIAL

Plaintiff OpenTV, Inc. ("OpenTV"), as its Complaint against Defendant Liberate

18 Technologies ("Liberate"), alleges as follows:

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PARTIES

- 20 OpenTV is a corporation incorporated under the laws of Delaware, with its principal place of business at 401 East Middlefield Road, Mountain View, California 94043. 21
- 22 Liberate is a corporation incorporated under the laws of Delaware, with its principal 23 place of business at 2 Circle Star Center, San Carlos, California 94070.

JURISDICTION AND VENUE

- 25 This action arises under the patent laws of the United States, Title 35 of the United States
- 26 Code. This Court has jurisdiction over the subject matter of this action pursuant to 28 U.S.C.
- §§ 1338(a) (action arising under an Act of Congress relating to patents) and 1331 (federal question) 27
- and 35 U.S.C. § 281. 28

COMPLAINT FOR PATENT INFRINGEMENT sf-1180763

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4. Venue is proper in this judicial district part uant to 28 U.S.C. §§ 1391(b), 1391(c) and Technology Center 2 1000(b), in that Liberate resides in this district, is subject to personal jurisdiction in this district and has committed acts of infringement in this district.

PRELIMINARY STATEMENT

- OpenTV is one of the world's leading interactive television technology companies.
- 6 OpenTV builds a complete "middleware" platform that enables digital interactive television.
- 7 6. OpenTV is the sole owner of numerous United States Patents in the field of digital
- 8 interactive television, including: United States Patent No. 5,563,648, issued on October 8, 1996 (the
- 9 "648 patent"), which is attached hereto as Exhibit A; and United States Patent No. 5,819,034, issued
- on October 6, 1998 (the "'034 patent"), which is attached hereto as Exhibit B. The '648 patent and
- 11 the '034 patent are referred to collectively herein as "the OpenTV patents."
- 12 7. Liberate competes against OpenTV in the interactive television market, developing and
- 13 marketing interactive television middleware platforms under the Liberate name. OpenTV has not
- 14 licensed Liberate under the OpenTV patents.

PATENT INFRINGEMENT BY LIBERATE

- OpenTV is informed and believes, and on that basis alleges, that Liberate has infringed
- and continues to infringe, directly or indirectly, the OpenTV patents by its unlicensed use, promotion,
- 18 manufacture, and offering for sale, in this judicial district and elsewhere in the United States, of
- 19 interactive television middleware.
- OpenTV is informed and believes, and on that basis alleges, that Liberate's infringement
- 21 of the OpenTV patents has been and will continue to be willful.
- 22 10. OpenTV is damaged and irreparably injured by Liberate's infringing activities and will
- 23 continue to be so damaged and irreparably injured unless Liberate's infringing activities are enjoined
- 24 by this Court.

25 PRAYER FOR RELIEF

- 26 WHEREFORE, OpenTV requests that the Court:
- 27 A. Enter judgment that OpenTV is the owner of the OpenTV patents and all rights of
- 28 recovery under each of them, and that each of those patents was duly issued and is valid;

COMPLAINT FOR PATENT INFRINGEMENT sf-1180763

1	B.	Enter judgment that Liberate has infringed the OpenTV patents, directly or indirectly;		
2	C.	Preliminarily and permanently enjoin Liberate, its officers, agents, servants,		
3	employees an	d those in concert or participation with them, from any unlicensed use of the inventions		
4	claimed in the	e OpenTV patents;		
5	D.	Award OpenTV damages as compensation for Liberate's infringement of the OpenTV		
6	patents, such	award to include prejudgment interest;		
7	E.	Treble such award of damages due to the willful nature of Liberate's infringement of		
8	the OpenTV	patents;		
9	F.	Declare that this is an exceptional case under 35 U.S.C. § 285 and award OpenTV its		
10	attorneys' fe	es and expenses in this action;		
11	G.	Award OpenTV its costs in this action; and		
12	H.	Enter such other and further relief to which OpenTV may be entitled as a matter of		
13	law, or which may otherwise be just and proper.			
14	Date	d: February 7, 2002		
15		HAROLD MCELHINNY		
16		MICHAEL A. JACOBS GEORGE C. HARRIS MORRISON & FOERSTER LLP		
17		MORRISON & POERSTER ID		
18		By: a Ca.		
19		Michael A. Jacobs		
20		Attorneys for Plaintiff OPENTV, INC.		
21		Michael A. Jacobs MAR 2 6 2002 Michael A. Jacobs Attorneys for Plaintiff OPENTV, INC.		
22		Attorneys for Plaintiff OPENTV, INC. Technology Center 2100		
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for which there is a right to trial by jury.

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DEMAND FOR JURY TRIAL

Plaintiff OpenTV hereby demands trial by jury of all claims and counterclaims in this action

. 4 Dated: February 7, 2002 5 HAROLD McELHINNY MICHAEL A. JACOBS 6 GEORGE C. HARRIS MORRISON & FOERSTER up 7 8 9 10 Attorneys for Plaintiff OPENTV, INC. 11 12 13 14 15 16 17 18 19 20 21 22 23

COMPLAINT FOR PATENT INFRINGEMENT sf-1180763

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